



PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

09/904,253

Filing Date

July 12, 2001

First Named Inventor

S. Bangalore, et al.

Art Unit

2654

Examiner Name

V. Chawan

Attorney Docket Number

1999-0779

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

- Credit Card Payment Form
- Five (5) cited references

Remarks

Total number of pages indicated above does not include the five (5) cited references

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Law Office of Jeffrey M. Weinick, LLC

Signature

Printed name

David W. Herring

Date

January 23, 2006

Reg. No.

51,069

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Risa Garcia

Date

January 23, 2006

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**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

Application No. : 09/904,253 Confirmation No.: 2050  
Applicants : Srinivas Bangalore  
Michael J. Johnston  
Filed : July 12, 2001  
Group Art Unit : 2654  
Examiner : V. Chawan  
Docket No. : 1999-0779  
  
Title : SYSTEMS AND METHODS FOR EXTRACTING MEANING  
FROM MULTIMODAL INPUTS USING FINITE-STATE  
DEVICES

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. One copy of each non-U.S. Patent reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

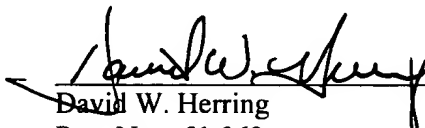
This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.31-1, or an action that otherwise closes prosecution in the application, and is accompanied by the \$180.00 fee set forth in § 1.17(p). 37 C.F.R. §1.97(c)(2).

01/27/2006 GWORDOF1 00000029 09904253

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Respectfully submitted,

  
David W. Herring  
Reg. No. 51,069  
Attorney for Applicants  
Tel.: 973-533-1616

Date: January 23, 2006  
AT&T Corp.  
Room 2A-207  
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Bedminster, NJ 07921

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	09/904,253
Filing Date	July 12, 2001
First Named Inventor	S. Bangalore, et al.
Art Unit	2654
Examiner Name	V. Chawan
Attorney Docket Number	1999-0779

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date	Considered
11/1/2011	11/1/2011
11/2/2011	11/2/2011
11/3/2011	11/3/2011
11/4/2011	11/4/2011
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12/31/2011	12/31/2011

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Art Unit	2654
Examiner Name	V. Chawan
Attorney Docket Number	1999-0779

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Bangalore, et al., Finite-State Multimodal Parsing and Understanding, July 31, 2000 - Aug. 04, 2000, International Conference of Computer Linguistics.	
		Bangalore, et al., Integrating Multimodal Language Processing with Speech Recognition, Oct. 2000, Proceeding of International Conference on Spoken Language Processing.	
		Johnston, et al., Unification-based Multimodal Integration, 1997, Proceeding of the 35th Annual Meeting of the Association for Computational Linguistics.	
		Johnson, M. Unification-based Multimodal Parsing, 1998, In Proceedings of the 17th Int'l Conf. on Computational Linguistics & 36th Annual Meeting of Assoc. for Computational Linguistics, p. 624-630.	
		Johnson, M., Deixis and Conjunction in Multimodal Systems, 2000, Proceedings of Coling-2000.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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